Prediction of Difficult Intubation with the Modified Mallampati and Upper Lip Bite Test: A Cross-Sectional Analysis

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Received date: May 23, 2020; Accepted date: May 27, 2020; Published date: June 5, 2020


Abstract

Difficult intubation is a term used when insertion of the endotracheal tube with conventional laryngoscopy technique requires more than 10 minutes or greater than three attempts. This study sought to determine the predictive value of two commonly used tests; Mallampati test vs. Upper Lip Bite Test (ULBT). A cross-sectional study conducted on 196 patients aged 18-60 years in lady reading hospital Peshawar presented for elective surgeries planned for general anaesthesia and requiring endotracheal intubation were included during March 2018 to May 2018. Both tests were performed on each sample. In total 196 participant 180 (91.8%) were found easy cases and 16 (8.2%) were difficult cases. Out of 16 (8.2%) difficult cases 5 (31.3%) difficult cases were underclass III Upper Lip Bite Test (ULBT) which are true positive. From Modified Mallampati Test 8 (50%) were attributed to MMT class III which is 21.1% of total class III and 3 cases (18.8% of total difficult cases) with MMT class IV which is 75% of MMT class IV are both true positive. During anaesthesia and intubation, most common cause of death and brain damage is encounter because of difficult intubation. In this study, we have performed two tests i.e. ULBT and MMT on total of 196 patients, out of which 16 (8.2%) were found difficult intubation which is in the range (0.5-17.5%) mention in the previous studies. The study has shown most of the difficult were predicted incorrectly. Most cases resulted in difficult intubation, which were predicted easily by two tests (Criteria set by Hoda et al.), so to only rely on ULBT and MMT for predictive measure is not standard.

Introduction

The meaning of difficult intubation is ‘the need of more attempts for intubation or taking more than 10 minutes during laryngoscopy or use of special equipment (instrument) for intubation or not viewed on laryngoscopy using Cormack-lehane classification’ The presence of only one will consider difficult intubation [1,2]. As reported the incidence of difficult endotracheal intubation is between 1.3% and 13% in patients undergoing general anaesthesia. Incidence of failed intubation varies from 0.05% to 0.35% whereas 0.01%-0.02% is incidence of inability to intubate and ventilate [1,3]. The most serious complication recorded by anaesthetist is a difficult airway (In which anaesthetist cannot deliver oxygen to the lungs). Around half of the cases are not recorded and are not predicted [4]. Many causes of difficult intubation, the important iatrogenic cause is an unexperienced and unprepared anaesthetist. Other cause due to anaesthetist includes inadequate preoperative assessment, equipment preparation, inexperienced and poor technique whereas equipment related causes is a malfunction of equipment and unavailability of proper equipment. Causes other than aesthetic and equipment are patient causes that may be acquired (reduced neck or jaw movement, Tumour, nerve palsy) or congenital (Down syndrome, Marfan syndrome, Treacher Collins syndrome) [5,6]. Difficult intubation leads to
serious complications like pulmonary aspiration, hypoxia, laryngeal trauma, lip injury, and hemodynamic changes [7-10]. Mallampati test, interincisor distance, and thyromental distance are good preoperative test in prediction of difficult intubation [11], Proper preoperative assessment should be planned.

The purpose of the study is to determine the predictive value of two commonly used tests; Mallampati test vs. Upper Lip Bite Test (ULBT).

Materials and Methods

This is cross-sectional study conducted on 196 patients aged 18-60 years presented for elective surgeries planned for general anesthesia and requiring endotracheal intubation were included from March 2018 to May 2018. Edentulous and patients with limited cervical movement, severely short neck, stiff neck, and non-cooperative were excluded from study. Ethical approval was obtained from Lady Reading Hospital Peshawar Khyber Pakhtunkhwa and Hospital director for the data collection. The sampling of the patient was based on a convenience sampling technique. And both of the test i.e. upper lip bite test and Mallampati test were performed on these patients preoperatively after taking informed verbal consent. The proper standard technique was used for intubation and muscle relaxant are given. The data was collected in an organized datasheet. The observation recorded on the data sheets were analyzed by SPSS version 23. And data was represented in form of frequency table, percentages, bar charts, and pie charts.

Results

Both tests (Mallampati and Upper lip bite test) were performed on each sample. Out of 196 participants 67 (34.2%) classified MMT class I, 87 (44.4%) MMT class II, 38 (19.4%) MMT class III and 4 (2%) MMT class IV. And 69 (35.2%) participants classified ULBT class I, 116 (59.2%) ULBT class II, and 11 (5.6%) ULBT class III. In the total 196 participants 180 (91.8%) were found easy cases and 16 (8.2%) were difficult cases as shown in Table 1.

### Table 1: Frequency (and percentage) of MMT and ULBT classes and their contribution (in percentage) on account of difficult and easy intubation within their respective MMT and ULBT classes.

<table>
<thead>
<tr>
<th>Classes</th>
<th>Modified (MMT) Difficult intubation</th>
<th>Mallampati Test Difficult intubation</th>
<th>Upper Lip Bite Test (ULBT) Difficult intubation</th>
<th>Total Difficult intubation n (%)</th>
<th>Easy intubation n (%)</th>
<th>Total Easy intubation n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class I</td>
<td>2 (3.0%)</td>
<td>65 (97.0%)</td>
<td>67 (1.4%)</td>
<td>67 (3.4%)</td>
<td>8 (96.6%)</td>
<td>87 (96.6%)</td>
</tr>
<tr>
<td>Class II</td>
<td>3 (3.4%)</td>
<td>84 (96.6%)</td>
<td>87 (8.6%)</td>
<td>116</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Of the total 196, 94 (48%) and 102 (52%) were male and female. 8 males (8.5% of 94 males) and 8 (7.8% of total 102 females) were found difficult to intubate which is 50, 50 % of the total 16 difficult cases.

Out of 16 (8.2%) difficult cases 5 (31.3%) difficult cases are coming under class III Upper Lip Bite Tests (ULBT) which are true positive. From Modified Mallampati Test 8 (50%) were attribute to MMT class III which is 21.1% of total class III and 3 cases (18.8% of total difficult cases) with MMT class IV which is 75% of MMT class IV are both true positive as shown in Tables 2 and 3.

### Table 2: Statistical terms used for upper lip bite as predicting tests, and its value accordance to difficult intubation.

<table>
<thead>
<tr>
<th>Predictive test</th>
<th>Statistical test</th>
<th>True Positive</th>
<th>False Positive</th>
<th>True Negative</th>
<th>False Negative</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>n (%)</td>
<td>n (%)</td>
<td>n (%)</td>
<td>n (%)</td>
<td>n (%)</td>
<td>n (%)</td>
</tr>
<tr>
<td>Upper lip Bite</td>
<td>class I</td>
<td>---</td>
<td>---</td>
<td>68 (34.7%)</td>
<td>01 (5.0%)</td>
<td>69 (35.2)%</td>
</tr>
<tr>
<td>Test Classifications</td>
<td>class II</td>
<td>---</td>
<td>---</td>
<td>106 (54.1%)</td>
<td>10 (5.1%)</td>
<td>116 (59.2%)</td>
</tr>
<tr>
<td></td>
<td>class III</td>
<td>05 (2.6%)</td>
<td>06 (3.1%)</td>
<td>---</td>
<td>---</td>
<td>11 (5.6%)</td>
</tr>
<tr>
<td>Total among</td>
<td>ULBT classes</td>
<td>05 (2.6%)</td>
<td>06 (3.1%)</td>
<td>174 (88.8%)</td>
<td>11 (5.6%)</td>
<td>196 (100%)</td>
</tr>
</tbody>
</table>

### Table 3: Predictive Values for the MMT classes predict the Occurrence accordance to difficult intubation in MMT classification.

<table>
<thead>
<tr>
<th>Predictive test</th>
<th>Statistical test</th>
<th>True Positive</th>
<th>False Positive</th>
<th>True Negative</th>
<th>False Negative</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>n (%)</td>
<td>n (%)</td>
<td>n (%)</td>
<td>n (%)</td>
<td>n (%)</td>
<td>n (%)</td>
</tr>
<tr>
<td>Modified</td>
<td>class I</td>
<td>---</td>
<td>---</td>
<td>65 (33.2%)</td>
<td>2 (1.0%)</td>
<td>67 (34.2%)</td>
</tr>
<tr>
<td>Mallampati</td>
<td>classes</td>
<td>class II</td>
<td>---</td>
<td>3 (1.5%)</td>
<td>84 (42.9%)</td>
<td>87 (44.4%)</td>
</tr>
</tbody>
</table>

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Out of total 16 difficult cases, ULBT class I has 1 (6.3% cases) that is 1.4% of total 69 ULBT class I and ULBT class II had 10 (62.5% out of 16) cases that is 8.6% of total 116 ULBT class II, both of which are false negative. And out of 180 easy cases 6 (3.3%) cases in ULBT class III as false negative, which accounts for 54.5% among 11 ULBT class III Tables 2 and 4.

| class III | 8 (4.1%) | 30 (15.3%) | --- | --- | 38 (19.4%) |
| class IV  | 3 (1.5%)  | 1 (0.5%)  | --- | --- | 4 (2.0%)  |
| Total among MMT classes | 11 (5.6%) | 31 (15.8%) | 68 (34.7%) | 86 (43.9%) | 196 (100%) |

Table 4: Statistical terms and definitions.

| True Positive | Intubation that is predicted to be difficult and results in difficult as well.
| False Positive | Intubation that is predicted to be difficult and turns out easy.
| True Negative | Intubation that is predicted to be easy and results in easy as well.
| False negative | Intubation that is predicted to be easy and turns out difficult.

Discussion

During anaesthesia and intubation, most common cause of death and brain damage is encounter because of difficult intubation [1,12-16]. Difficult intubation reported by many studies is in the range of 0.5%-17.5%. [1,17-20]. In this study, we have performed two tests i.e. ULBT and MMT on a total of 196 patients, out of which 16 (8.2%) were found difficult intubation which is in the range mention in the previous studies. As none of the anatomical factors can predict difficult intubation with 100% accuracy, so predictive test can be considered unreliable. Different reference standards utilized for difficult intubation such variations happen. The reference standard used for assessing difficult intubation is Cormack and Lehane intubation grade, [1,21] the total attempts on laryngoscopy, [1,22], and the utilization of BURP (Backward Upward Rightward Pressure) maneuver [1,17]. 05 (2.6%) of ULBT classes were found in our study to be true positive which are comparable to L. Eberhart et al. [20] and Khan et al. [17] findings, i.e. 3.3% and 4.3% respectively and is much less than M. Ali et al. [1] results that is 15.1%. From Mallampati test we got 11 (5.6%) of cases as true positive which is comparable to Khan et al. [17] and M. Ali et al. [1] findings i.e. 4.7% and 3.4% respectively, and is less than L. Eberhart et al. [20] which is 8.3%. Of total ULBT class, 88.8% were found to be true negative that is higher than the previous study by L. Eberhart et al. [20], Khan et al. [17] and M. Ali et al. [1] which are 83.4%, 83.7%, and 76.9% respectively. And 68 (34.7%) were found true negative among MMT classes which is less than results of L. Eberhart et al. [20], Khan et al. [17] and M. Ali et al. [1] which are 53.7%, 63.0% and 75.9% respectively.

False-positive cases found ULBT classes was 06 (3.1%), less than the finding of L. Eberhart et al. [20], Khan et al. [17] and M. Ali et al. [1] which are 6.6%, 10.7%, and 5.9% respectively. Whereas false positive in MMT classes were 31 (15.8%), much less than the finding of L. Eberhart et al. [20] and Khan et al. [17] which are 34.4% and 31.3% and are greater than that of M. Ali et al. [1], which are 6.8% respectively. False-positive were 11 (5.6%) among ULBT classes which is less than L. Eberhart et al. [20] study result that is 8.5% and is greater than both Khan et al. [17] and M. Ali et al. [1] study results that are 1.3% and 2.1% respectively and 86 (43.9%) cases of false-positive in MMT classes, that is much greater than previous studies i.e. L. Eberhart et al. [20], Khan et al. [17] and M. Ali et al. [1], whose finding are 3.5%, 1.0%, and 13.9%.

Conclusion

The study has shown most of the difficulties were predicted incorrectly. Most cases resulted in difficult intubation, which was predicted easily by two tests (Criteria set by Hoda et al). MMT and ULBT are easy to perform except few patients and are advice to be done but rely on only on these two don’t always predict difficult intubation.

Acknowledgment

We are grateful to Lady Reading Hospital, Peshawar, Khyber Pakhtunkhwa, Pakistan for providing research facilities.

Funding Source

Not applicable

Conflict of Interest

The authors declare no conflict of interest.

Author’s Contributions

Tayyeb M contributed majorly to this research work his contributions are; performed research work, conceptualization, idea, and writing the main manuscript draft. Faisal S contributed to the Figures and tables. Abdullah contributed to the formatting of the main manuscript. Ajmal M, Ahmad N, Arsalan M, Jehad A, Haq BU, Shah SK, Jan MQ help in proofreading.

Reference


